CONFERENCE ON DISARMAMENT

CD/TIA/INF.3/Rev.1
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Ad Hoc Committee on Transparency
in Armaments

BACKGROUND PAPER PURSUANT TO DOCUMENT CD/1173

Paragraph 103 of the Report of the Conference on Disarmament to the General Assembly (CD/1173) reads as follows:

> "103. It was noted that openness and transparency in armaments had already been the subject of various agreements among States at the regional and bilateral level, as well as at the multilateral level. In order for the Conference to have at its disposal as exhaustive an inventory as possible, summarizing the existing measures or agreements at the multilateral, regional and bilateral level, it was agreed that the Secretariat would draw up such a list and that delegations wishing to do so may include in that list any measure they feel would be relevant to the subject."

Accordingly, the Secretariat drew up a list of such agreements, attached herewith. It should be noted, however, that since the terms "openness" and "transparency" were not defined in the above-mentioned paragraph, the Secretariat referred to the Study on ways and means of promoting transparency in international transfers of conventional arms (A/46/301), paragraph 14 of which reads as follows:

> "14. The concept of transparency is related to that of openness. Openness is signified by a general national policy of making public information on military matters. It is a function of a State's governmental system and practice. Transparency, on the other hand, has come to be understood as involving systematic provision of information on specific aspects of activities in the military field under informal or formal international arrangements. It reflects willingness of all States participating in such arrangements to practice openness at least in the areas of information covered by them. Depending on the specifics of such arrangements, relevant information can be made available between States or to a central repository. Transparency can be tailored to specific interests, practised to varying degrees and adaptable to progressive evolution. In particular, it is designed to respond to specific security interests or concerns of the States involved. It is, therefore, only feasible when countries participating in related measures find that it serves their national and international security interests. While different in scope, both openness and transparency contribute to confidence among States and reduce the risk of misunderstanding or miscalculation."

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Nevertheless, even with these definitions, selecting measures of openness and transparency from the multitude of existing agreements still involved a certain amount of subjective judgement and interpretation. It was thus recommended that, as per paragraph 103 cited above, delegations include in the list any measure they felt would be relevant to the subject before this document became final. Accordingly, they were invited to transmit any additions no later than Friday, 28 May, 1993.

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MULTILATERAL

THE ANTARCTIC TREATY[1]

Washington, 1 December 1959
Entered into force: 23 June 1961

Article III, para. 1 and Article IX, paras. 1 and 3, on exchanging information on scientific programs and observations.

Article IX, paras 1 and 3 on exchanging information on Antarctica.

TREATY ON PRINCIPLES GOVERNING THE ACTIVITIES OF STATES IN THE EXPLORATION AND USE OF OUTER SPACE, INCLUDING THE MOON AND OTHER CELESTIAL BODIES[2] (Outer Space Treaty)

London, Moscow and Washington, 27 January 1967
Entered into force: 10 October 1967

Article V, on informing States Parties or the United Nations Secretary-General of phenomena constituting a danger to the life or health of astronauts.

Article XI, on informing the Secretary-General, the public and the international scientific community on activities conducted in outer space.

TREATY ON THE NON-PROLIFERATION OF NUCLEAR WEAPONS[3] (NPT)

London, Moscow and Washington, 1 July 1968
Entered into force: 5 March 1970

Preambular para. 8 regarding exchange of scientific information on atomic energy for peaceful purposes.

Article I regarding prohibition of transfer of nuclear weapons or other nuclear explosive devices or control over such weapons or devices.

Article II regarding prohibition of receiving the transfer of nuclear weapons or nuclear devices or control over such weapons or devices.

Article III regarding safeguards agreements with IAEA.

Article IV regarding exchange of information for the peaceful uses of nuclear energy.

Article V regarding peaceful applications of nuclear explosions.

TREATY ON THE PROHIBITION OF THE EMPLACEMENT OF NUCLEAR WEAPONS AND OTHER WEAPONS OF MASS DESTRUCTION ON THE SEA-BED AND THE OCEAN FLOOR AND IN THE SUBSOIL THEREOF[4] (Sea-Bed Treaty)

London, Moscow and Washington, 11 February 1971
Entered into force: 18 May 1972

Article III, paras. 1-5, regarding verification.

CONVENTION ON THE PROHIBITION OF THE DEVELOPMENT, PRODUCTION AND STOCKPILING OF BACTERIOLOGICAL (BIOLOGICAL) AND TOXIN WEAPONS AND ON THEIR DESTRUCTION[5] (BW Convention)

London, Moscow and Washington, 10 April 1972
Entered into force: 26 March 1975

Article III regarding the prohibition of transfers.

Article VI, paras. 1 and 2, regarding complaints and investigations.

Article X, para. 1, regarding exchange of information, equipment and materials and para. 2 regarding exchange of agents, toxins and equipment for peaceful purposes.

Articles V and X, as per the Third Review Conference, regarding exchanges of data; declaration of legislation, regulations and other measures; declaration of past activities, and declaration of vaccine production facilities.

CONVENTION ON THE PROHIBITION OF MILITARY OR ANY OTHER HOSTILE USE OF ENVIRONMENTAL MODIFICATION TECHNIQUES[6] (ENMOD Convention)

Geneva, 18 May 1977
Entered into force: 5 October 1978

Article III, para.2, regarding exchange of information.

Article V, para.2, regarding Consultative Committee of Experts, and para. 4 regarding investigations and informing States thereof.

AGREEMENT GOVERNING THE ACTIVITIES OF STATES ON THE MOON AND OTHER CELESTIAL BODIES[7]

New York, 18 December 1979
Entered into force: 11 July 1984

Article 5, paras. 1-3, on informing of exploration and use of the moon.

Article 7, para. 2, on informing of the environment and para. 3 regarding reporting to other States Parties.

Article 9, para. 1, on informing of stations on the moon.

Article 11, para. 6, on informing of natural resources discovered on the moon.

Article 12, para. 3, regarding notification.

Article 13 regarding notification.

Article 15, para. 1, regarding openness and notification of visits and para.2 regarding informing on non-fullfillment of obligations.

CONVENTION ON THE PHYSICAL PROTECTION OF NUCLEAR MATERIAL[8]

New York, 3 March 1980
Entered into force: 8 February 1987

Article 4, para. 5, regarding identification and informing in advance States regarding transit of nuclear material.

Article 5, para. 1, regarding identifying responsibility for physical protection; para. 2 regarding exchange of information and para. 3 regarding cooperation and consultation on transport.

Article 9 regarding notification.

Article 14, para. 1, regarding communication between Depositary and States Parties on laws and regulations and para. 2 on alleged offenders.

CONVENTION ON PROHIBITIONS OR RESTRICTIONS ON THE USE OF CERTAIN CONVENTIONAL WEAPONS WHICH MAY BE DEEMED TO BE EXCESSIVELY INJURIOUS OR TO HAVE INDISCRIMINATE EFFECTS[9]

New York, 10 April 1981
Entered into force: 2 December 1983

PROTOCOL ON PROHIBITIONS OR RESTRICTIONS ON THE USE OF MINES, BOOBY-TRAPS AND OTHER DEVICES (PROTOCOL II)

Article 7, para. 3, regarding notification of minefields, mines and booby-traps.

Article 9 regarding provision of information and assistance on removal or rendering ineffective minefields, mines and booby-traps.

TECHNICAL ANNEX TO THE PROTOCOL ON PROHIBITIONS OR RESTRICTIONS ON THE USE OF MINES, BOOBY-TRAPS AND OTHER DEVICES (PROTOCOL II)

Guidelines on Recording, regarding maps, diagrams and locations of minefields, mines and booby-traps.

NUCLEAR SUPPLIERS GROUP: STATEMENT ON FULL-SCOPE SAFEGUARDS[10]

3 April 1992

Statement on full-scope safeguards regarding transfers.

NUCLEAR SUPPLIERS GROUP: GUIDELINES FOR NUCLEAR TRANSFERS[11]

3 April 1992

Guidelines for transfers of nuclear-related dual-use equipment, material and related technology

Basic Principle, para. 2, regarding transfers of equipment, material, or related technology identified in the Annex.

Conditions for transfers, para. 5.

Annex: List of nuclear-related dual-use equipment and materials and related technology.

NUCLEAR SUPPLIERS GROUP: MEMORANDUM OF UNDERSTANDING IMPLEMENTING GUIDELINES FOR TRANSFERS OF NUCLEAR-RELATED DUAL-USE EQUIPMENT, MATERIAL AND RELATED TECHNOLOGY[12]

3 April 1992

Para. 2 regarding consultations and exchange of information.

Para. 4 Decisions on transfers and notification thereof.

INTERIM GUIDELINES RELATED TO WEAPONS OF MASS DESTRUCTION[13]

29 May 1992

China, France, Russian Federation, the United Kingdom and the United States of America

Para. 2, regarding notifying the IAEA of exports.

Para. 3, regarding restraint in transfers.

Paras. 5 and 7-10 regarding exports and export controls.

MISSILE TECHNOLOGY CONTROL REGIME
GUIDELINES FOR SENSITIVE MISSILE-RELEVANT TRANSFERS[14]

2 July 1992

Paras. 1-5, on controlling transfers and para. 6 regarding exchange of information.

CONVENTION ON THE PROHIBITION OF THE DEVELOPMENT, PRODUCTION, STOCKPILING AND USE OF CHEMICAL WEAPONS AND ON THEIR DESTRUCTION[15] (CW Convention)

Paris, 13 January 1993

Article I General Obligations, rearding the prohibition of the development, acquisition, stockpiling or transfer of chemical weapons.

Article III Declarations, regarding chemical weapons and chemical weapons production facilities, paras. 1 and 2.

Article IV Chemical Weapons, regarding verification, inspections and monitoring, paras. 3, 4, 5, 7 and 15.

Article V Chemical Weapons Production Facilities, paras. 6 and 7, regarding access for verification, plans for destruction and declarations.

Article VI Activities not Prohibited under this Convention, paras. 4, 5 and 6 regarding data monitoring, paras. 7 and 8 regarding declarations and para. 9 regarding verification.

Article VII National Implementation Measures, para. 4 regarding notification of National Authority, and para. 5 relating to the requirement for each State Party to inform the Organization of the legislative and administrative measures taken to implement the Convention.

Article IX Consultations, Cooperation and Fact-finding, para. 2 excahange of information and consultations, para. 4 on requesting of clarification, para. 6 on informing States Parties about such requests, para. 9 on providing information about possible non-compliance, para. 11 regarding verification, para. 21 regarding transmission of reports and para. 24 on informing States Parties.

Article X Assistance and Protection against Chemical Weapons, para. 3 regarding exchange of information, para. 4 on providing annually information on national programmes, para. 5 on establishing a data bank, para. 10 on transmitting to all States Parties investigation reports.

Article XI Economic and Technological Development, regarding economic and technological cooperation among States Parties in the field of peaceful uses of chemistry.

Annex on Chemicals
B. Schedules of Chemicals.

Annex on Implementation and Verification ("Verification Annex").

Part II General Rules of Verification:
C. Standing Arrangements, para. 16 regarding information on points of entry, para. 22 regarding information on non-scheduled aircraft.
E. Conduct of Inspections, para. 46, regarding information to be furnished to inspectors.

Part IV (A) Destruction of Chemical Weapons and its verification pursuant to Article IV (A): Declarations regarding chemical weapons, paras. 1, 2,3, 4, 5, 6.
C. Destruction, para. 16 regarding declarations, paras. 28 and 29 regarding plans for destruction, paras. 30 and 31 regarding facility information, para. 32 regarding destruction facilities.
D. Verification, para. 47 on providing data, para. 61 regarding notification to the inspection team.

Part IV (B) Old Chemical Weapons and Abandoned Chemical Weapons:
B. Regime for old chemical weapons, para. 6 on informing of a chemical weapon.
C. Regime for Abandoned Chemical Weapons, paras. 8 - 12 on submitting information on abandoned chemical weapons.

Part V Destruction of Chemical Weapons Production Facilities and its verification pursuant to Article V:
A. Declarations, paras. 1 - 10.
B. Destruction, paras. 20 on submitting plans for conversion, paras.. 32 - 37 regarding plans for destruction.
C. Verification, paras. 64 - 68, regarding requests for conversion, paras. 77 and 78 regarding plans for conversion.

Part VI: Activities not Prohibited under this Convention in accordance with Article VI:
Regime for Schedule 1 Chemicals and Facilities related to such chemicals
B. Transfers, para. 5 and 6.
D. Declarations, paras. 13 - 20, regarding detailed technical descriptions and declarations.

Part VII Activities not Prohibited under this Convention in accordance with Article VI:
Regime for Schedule 2 Chemicals and Facilities related to such chemicals
A. Declarations, paras. 1 - 11.
C. Transfers to States not Party to this Convention, para. 32.

Part VIII Activities not Prohibited under this Convention in accordance with Article VI:
Regime for Schedule 3 Chemicals and Facilities related to such chemicals
A. Declarations, paras. 1 - 11.
C. Transfers to States not Party to this Convention, para. 26.

Part IX Activities not Prohibited under this Convention in accordance with Article VI:
Regime for other Chemical Production Facilities
A. Declarations, para. 1-8.

Part X Challenge Inspections Pursuant to Article IX:
D. Post-Inspection Activities, para. 61 regarding inspection reports.

Part XI Investigations in cases of alleged use of Chemical Weapons:
B. Pre-inspection Activities, para. 5 regarding informing all States Parties.
D. Reports, para. 23 regarding transmitting of reports.

REGIONAL

TREATY FOR THE PROHIBITION OF NUCLEAR WEAPONS IN LATIN AMERICA[16] (Treaty of Tlatecolco)

Mexico City, 14 February 1967
Entered into force: For each Government individually

Article 7, paras. 1-3, regarding the Agency for the Prohibition of Nuclear Weapons in Latin America.

Article 9, paras. 1-5, regarding the General Conference.

Article 12, paras. 1 and 2, regarding a control system.

Article 13 regarding agreements with the International Atomic Energy Agency.

Article 14, paras. 1 and 2, regarding semi-annual reports to be submitted to the Agency and IAEA.

Article 15, paras. 1 and 2, regarding requests of Secretary-General for Contracting Parties to provide information.

Article 16, paras. 1-8, regarding special inspections.

Article 18, paras. 2 and 3, regarding notification of explosions of nuclear devices for peaceful purposes.

Article 20 regarding reporting on non-compliance.

Article 23 regarding notifications.

CONFERENCE ON SECURITY AND CO-OPERATION IN EUROPE FINAL ACT[17] (CSCE)

Helsinki, 1 August 1975

2. Document on confidence-building measures and certain aspects of security and disarmament

Prior notification of other military measures.
Exchange of observers.
Prior notification of major military movements.
Other confidence-building measures.

SOUTH PACIFIC NUCLEAR FREE ZONE TREATY[18] (Treaty of Rarotonga)

Rarotonga, 6 August 1985
Entered into force: 11 December 1986

Article 8, para. 2, regarding a control system.

Article 9, paras. 1 - 3, regarding reports and exchanges of information.

DOCUMENT OF THE STOCKHOLM CONFERENCE ON CONFIDENCE- AND SECURITY-BUILDING MEASURES AND DISARMAMENT IN EUROPE CONVENED IN ACCORDANCE WITH THE RELEVANT PROVISIONS OF THE CONCLUDING DOCUMENT OF THE MADRID MEETING OF THE CONFERENCE ON SECURITY AND CO-OPERATION IN EUROPE[19]

Stockholm, 19 September 1986

Prior Notification of Certain Military Activities
Observation of Certain Military Activities
Annual Calendars
Constraining Provisions
Compliance and Verification

GALAPAGOS DECLARATION: ANDEAN AGREEMENT ON PEACE, SECURITY AND CO-OPERATION[20]

Galápagos, Ecuador, 18 December 1989
Bolivia, Colombia, Ecuador, Peru, Venezuela.

Para. 6, regarding exchange of information between armed forces.

VIENNA DOCUMENT 1990 OF THE NEGOTIATIONS ON CSBMs CONVENED IN ACCORDANCE WITH THE RELEVANT PROVISIONS OF THE CONCLUDING DOCUMENT OF THE VIENNA MEETING OF THE CSCE[21]

Vienna, 17 November 1990

I. Annual exchange of military information.
Information on military forces.
Information on plans for the deployment of major weapon and equipment systems.
Information on military budgets.

II. Risk reduction.
Mechanism for consultation and cooperation as regards unusual military activities.
Co-operation as regards hazardous incidents of a military nature.
Visits to air bases.
Military contacts.

IV. Prior notification of certain military activities.

V. Observation of certain military activities.

VI. Annual calendars.

VII. Constraining provisions.

VIII. Compliance and verification.
Inspection.
Evaluation.

IX. Communications.

TREATY ON CONVENTIONAL ARMED FORCES IN EUROPE[22] (CFE Treaty)

Paris, 19 November 1990
Entered into force: 9 November 1992

Article V, para. 2, regarding notification of deployment and withdrawal.

Article VII, paras. 1 - 7, regarding notifications on holdings, reductions and time limits

Article VIII, para. 5, regarding exchange of information; para. 6 regarding notification of reduction; para. 8 regarding notification of adjustment.

Article X, para. 1, regarding notification of storage sites, para. 8 regarding notification of removal of armaments and equipment and para. 11 regarding replacement of armaments and equipment.

Article XI, paras. 3 and 7 regarding notification of removal and withdrawal of armoured vehicle launched bridges.

Article XII, para. 2 regarding notification of reassignment of conventional armaments and equipment.

Article XIV and Article XV regarding verification of compliance.

Article XVI regarding establishment of a Joint Consultative Group.

Article XVII regarding transmission of information and notifications.

PROTOCOL ON EXISTING TYPES OF CONVENTIONAL ARMAMENTS AND EQUIPMENT

PROTOCOL ON NOTIFICATION AND EXCHANGE OF INFORMATION

"MENDOZA AGREEMENT" JOINT DECLARATION ON THE COMPLETE PROHIBITION OF CHEMICAL AND BIOLOGICAL WEAPONS[23]

Argentina, 5 September 1991
Argentina, Bolivia, Brazil, Chile, Paraguay, Uruguay

CARTAGENA DECLARATION ON RENUNCIATION OF WEAPONS OF MASS DESTRUCTION[24]

Cartagena de Indias, Colombia, 4 December 1991
Bolivia, Colombia, Ecuador, Peru, Venezuela

VIENNA DOCUMENT 1992 OF THE NEGOTIATIONS ON CONFIDENCE- AND SECURITY-BUILDING MEASURES CONVENED IN ACCORDANCE WITH THE RELEVANT PROVISIONS OF THE CONCLUDING DOCUMENT OF THE VIENNA MEETING OF THE CONFERENCE ON SECURITY AND CO-OPERATION IN EUROPE[25]

Helsinki, 4 March 1992
Entered into force: 1 May 1992

I. Annual exchange of military information for (CSBMs) purposes.
Data relating to major weapon and equipment systems.
Information on Military Budgets.

II. Risk reduction.
Mechanism for consultation and co-operation as regards unusual military activities.
Co-operation as Regards Hazardous incidents of a military nature.

III. Contacts.
Visits to Air Bases.
Military Contacts.
Demonstration of New Types of Major Weapon and Equipment Systems.

IV. Prior Notification of Certain Military Activities.

V. Observation of Certain Military Activities.

VI. Annual calendars, regarding exchange of calendar of military activities.

VIII. Compliance and verification.
Inspection.
Evaluation.

IX. Communications.

X. Annual Implementation Assessment Meeting.

TREATY ON OPEN SKIES[26]

Helsinki, 24 March 1992

Article I, para. 1, establishes the regime for observation flights.

Article III, regarding quotas and provisions of observation flights.

Article IV, para. 10, regarding States Parties informing each other of technical information on sensors; paras. 12 and 13 regarding notification of changes in sensors or technical information.

Article V, paras. 2 and 3 regarding States Parties notifiying each other about aircraft designation.

Article VI, paras. 5 and 8, regarding notification of intention to conduct an observation flight.

Article VII, para. 2, regarding notification of each State Party whose airspace is to be transited.

Article VIII, para. 3, regarding explanation to States Parties of prohibition of an observation flight.

Article IX, section IV, regarding the right of States Parties to request and receive data from an observation flight.

Article X, regarding the establishment of an Open Skies Consultative Commission.

Article XI, regarding Notifications and Reports.

Annex A Quotas and Maximum Flight Distances.

Annex B Information on Sensors
Appendix to Annex B - Annotation of Data Collected during an Observation Flight.
Annex C Information on Observation Aircraft.

Annex D Certification of Observation Aircraft and Sensors.
Appendix 1 to Annex D - Methodolgies for the Verification of the Performance of Sensors installed on an Observation Aircraft.

Annex E Procedures for Arrivals and Departures.
Appendix 1 to Annex E - Designation of Sites.

Annex F Pre-Flight Inspections and Demonstration Flights.

Annex G Flight Monitors, Flight Representatives, and Representatives.

Annex H Co-ordination of Planned Observation Flights

Annex I Information on Airspace and Flights in Hazardous Airspace.

Annex J Montreux Convention

Annex K Information on Film Processors, Duplicators and Photographic Films, and Prodecures for Monitoring the Processing of Photographic Film.

Annex L Open Skies Consultative Commission.

FINAL DOCUMENT OF THE EXTRAORDINARY CONFERENCE OF THE STATES PARTIES TO THE TREATY ON CONVENTIONAL ARMED FORCES IN EUROPE[27]

Oslo, 5 June 1992

Annex B Notifications, Confirmations and Commitments, regarding notifications and exchange of information.

CONCLUDING ACT OF THE NEGOTIATIONS ON PERSONNEL STRENGTH OF CONVENTIONAL ARMED FORCES IN EUROPE (CFE 1A)

Vienna, 10 July 1992
Entered into force: 17 July 1992

BILATERAL

MEMORANDUM OF UNDERSTANDING BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS REGARDING THE ESTABLISHMENT OF A DIRECT COMMUNICATIONS LINK[28] ("Hot Line" Agreement)

Geneva, 20 June 1963
Entered into force: 20 June 1963

AGREEMENT ON MEASURES TO REDUCE THE RISK OF OUTBREAK OF NUCLEAR WAR BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS[29] (Nuclear Accidents Agreement)

Washington, 30 September 1971
Entered into force: 30 September 1971

Article 2 regarding notification of possible detonation of a nuclear weapon.

Article 4 regarding notification of missile launches.

Article 5 on informing or requesting information.

Article 6 regarding transmission of information, notifications and requests.

AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON MEASURES TO IMPROVE THE USA-USSR DIRECT COMMUNICATIONS LINK[30] ("Hot Line" Modernization Agreement)

Washington, 30 September 1971
Entered into force: 30 September 1971

AGREEMENT BETWEEN THE GOVERNMENT OF THE UNITED STATES OF AMERICA AND THE GOVERNMENT OF THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE PREVENTION OF INCIDENTS ON AND OVER THE HIGH SEAS[31]

Moscow, 25 May 1972
Entered into force: 25 May 1972

Article III paras. 5 and 7 regarding signals.

Article V para. 1 regarding signals and para. 2 regarding lights.

Article VI para. 1 regarding notification of actions and paras. 2 and 3 regarding signals.

Article VII regarding exchange of information.

PROTOCOL TO THE AGREEMENT BETWEEN THE GOVERNMENT OF THE UNITED STATES OF AMERICA AND THE GOVERNMENT OF THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE PREVENTION OF INCIDENTS ON AND OVER THE HIGH SEAS SIGNED MAY 25, 1972[32]

Washington, 22 May 1973
Entered into force: 22 May 1973

Article I on notifying non-military ships of provisions for safety.

TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE LIMITATION OF ANTI-BALLISTIC MISSILE SYSTEMS[33] (ABM Treaty)

Moscow, 26 May 1972
Entered into force: 3 October 1972

Article IX regarding transfer of systems or components.

Article XII para.1 regarding verification

Article XIII paras. 1 and 2 regarding the Standing Consultative Commission.

INTERIM AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON CERTAIN MEASURES WITH RESPECT TO THE LIMITATION OF STRATEGIC OFFENSIVE ARMS[34] (SALT I)

Moscow, 26 May 1972
Entered into force: 3 October 1972

Article V regarding national technical means of verification.

Article VI regarding the Standing Consultative Commission.

AGREED STATEMENTS, COMMON UNDERSTANDINGS AND UNILATERAL STATEMENTS REGARDING THE INTERIM AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON CERTAIN MEASURES WITH RESPECT TO THE LIMITATION OF STRATEGIC OFFENSIVE ARMS

1. Agreed Statements

Agreed Statement E regarding notification of dismantling or destruction.

AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE PREVENTION OF NUCLEAR WAR[35]

Washington, 22 June 1973
Entered into force: 22 June 1973

Article IV regarding urgent consultations.

Article V on informing the Security Council.

PROTOCOL TO THE TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE LIMITATION OF ANTI-BALLISTIC MISSILE SYSTEMS[36] (ABM Protocol)

Moscow, 3 July 1974
Entered into force: 24 May 1976

Article II paras. 1-3 regarding notifications of dismantling, destruction and deployment of anti-ballistic missile systems.

TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE LIMITATION OF UNDERGROUND NUCLEAR WEAPON TESTS[37] (TTBT)

Moscow, 3 July 1974
Entered into force: 11 December 1990

Article II para. 1 regarding verification; and para. 3 regarding consultations and furnishing information.

TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON UNDERGROUND NUCLEAR EXPLOSIONS FOR PEACEFUL PURPOSES[38] (PNET)

Washington and Moscow, 28 May 1976
Entered into force: 11 December 1990

Article IV, para. 1, regarding verification and provision of information and access to sites.

Article V, paras. 1 and 2, regarding a Joint Consultative Commission.

Article VI, para. 3, on informing the IAEA of results of cooperation in the field.

TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE LIMITATION OF STRATEGIC OFFENSIVE ARMS[39] (SALT II)

Vienna, 18 June 1979

Article II, para. 3
Second agreed statement regarding notification on heavy bombers.

Article II, para. 5
Second agreed statement regarding notification on ICBMs.

Article II, para. 8
Fifth Common Understanding regarding notification of flight-testing or deployment.

Article IV, para.9
Second agreed statement regarding notification of launch.

Article VII, para. 2
Second agreed statement regarding notification of test ranges.

Article VIII, para.1
Second Common Understanding regarding notification of airplanes used for testing.

Article XV, para. 1, regarding verification.

Article XVI, para. 1, regarding notification of ICBM launch and First Common Understanding regarding advance notification of launch.

Article XVII, para. 2, on providing information within the framework of the Standing Consultative Commission, and para. 3 regarding the agreed data base and agreed statement in this regard.

PROTOCOL TO THE TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE LIMITATION OF STRATEGIC OFFENSIVE ARMS

Article II, para. 3
Fifth Common Understanding regarding notification of flight-testing.

MEMORANDUM OF UNDERSTANDING BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS REGARDING THE ESTABLISHMENT OF A DATA BASE ON THE NUMBERS OF STRATEGIC OFFENSIVE ARMS

MEMORANDUM OF UNDERSTANDING BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE U.S.-U.S.S.R. DIRECT COMMUNICATIONS LINK[40] ("Hot Line" Expansion Agreement)

Washington, 17 July 1984
Entered into force: 17 July 1984

AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE ESTABLISHMENT OF NUCLEAR RISK REDUCTION CENTERS[41]

Washington, 15 September 1987
Entered into force: 15 September 1987

Article 2 regarding notifications.

Article 3 regarding a communications link.

PROTOCOL I TO THE AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE ESTABLISHMENT OF NUCLEAR RISK REDUCTION CENTERS

Article 1 paras (a) and (b) regarding notifications of ballistic missile launchers.

Article 3 regarding transmission of communications.

PROTOCOL II TO THE AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE ESTABLISHMENT OF NUCLEAR RISK REDUCTION CENTERS

Article 1 regarding satellites for monitoring.

Articles 2-7 regarding transmission of facsimile messages, terminals, communications capabilities and equipment for exchange of information.

TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE ELIMINATION OF THEIR INTERMEDIATE-RANGE AND SHORTER-RANGE MISSILES[42] (INF Treaty)

Washington, 8 December 1987
Entered into force: 1 June 1988

Article IX, paras. 1-6, regarding update of data and notifications.

Article X, para. 8, regarding notification of elimination, removal; para. 9 regarding notification of conversion.

Article XI, paras. 1-8, regarding verification, compliance and inspections.

Article XII, paras. 1 and 2, regarding verification and compliance and para. 3 regarding requests for cooperative measures.

Article XIII, para. 1, regarding the Special Verification Commission and para. 2 regarding the use of Nuclear Risk Reduction Centers.

Memorandum of Understanding Regarding the Establishment of the Data Base for the Treaty Between the Union of Soviet Socialist Republics and the United States of America on the Elimination of Their Intermediate-Range and Shorter-Range Missiles

Exchange of data as of 1 November, 1987, on intermediate-range and shorter-range missiles and launchers of such missiles and support structures and support equipment associated with such missiles and launchers.

Protocol on Procedures Governing the Elimination of the Missile Systems Subject to the Treaty Between the United States of America and the Union of Soviet Socialist Republics on the Elimination of Their Intermediate-Range and Shorter-Range Missiles

Protocol Regarding Inspections Relating to the Treaty Between the United States of America and the Union of Soviet Socialist Republics on the Elimination of Their Intermediate-Range and Shorter-Range Missiles

Memorandum of Agreement Between the Government of the United States of America and the Government of the Union of Soviet Socialist Republics Regarding the Implementation of the Verification Provisions of the Treaty on the Elimination of Their Intermediate-Range and Shorter-Range Missiles

Geneva, 21 December 1989
Entered into force: 21 December 1989

Amendendment I to the Memorandum of Agreement

AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON NOTIFICATIONS OF LAUNCHES OF INTERCONTINENTAL BALLISTIC MISSILES AND SUBMARINE-LAUNCHED BALLISTIC MISSILES[43]

Moscow, 31 May 1988
Entered into force: 31 May 1988

Articles I, II and III regarding notification of launch.

AGREEMENT ON THE PREVENTION OF DANGEROUS MILITARY ACTIVITIES[44]

Moscow, 12 June 1989
Entered into force: 1 January 1990

Union of Soviet Socialist Republics and the United States of America

AGREEMENT BETWEEN THE GOVERNMENT OF THE UNION OF SOVIET SOCIALIST REPUBLICS AND THE GOVERNMENT OF THE UNITED STATES OF AMERICA ON RECIPROCAL ADVANCE NOTIFICATION OF MAJOR STRATEGIC EXERCISES[45]

Jackson Hole, Wyoming, 23 September 1989
Entered into force: 1 January 1990

MEMORANDUM OF UNDERSTANDING BETWEEN THE GOVERNMENT OF THE UNITED STATES OF AMERICA AND THE GOVERNMENT OF THE UNION OF SOVIET SOCIALIST REPUBLICS REGARDING A BILATERAL VERIFICATION EXPERIMENT AND DATA EXCHANGE RELATED TO PROHIBITION OF CHEMICAL WEAPONS[46]

Jackson Hole, Wyoming, 23 September 1989
Entered into force: 23 September 1989

AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON DESTRUCTION AND NON-PRODUCTION OF CHEMICAL WEAPONS AND ON MEASURES TO FACILITATE THE MULTILATERAL CONVENTION ON BANNING CHEMICAL WEAPONS[47]

Washington, D.C., 1 June 1990

PROTOCOL TO THE TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE LIMITATION OF UNDERGROUND NUCLEAR WEAPON TESTS[48]

Washington, 1 June 1990
Entered into force: 11 December 1990

Section II. Test sites, para. 1 regarding Parties providing each other with description of the boundaries, and para. 2 regarding transmission of information on new test sites.

Section III. Verification measures, paras. 1, 2, 3, 4, 7, 8, 9.

Section IV. Notifications and information relating to tests, paras. 1-25.

Section V. Hyrdronamic Yield Measurement Method.

Section VI. Seismic Yield Measurement Method.

Section VII. On-site inspection, paras. 1, 2, 3 and 5.

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PROTOCOL TO THE TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON UNDERGROUND NUCLEAR EXPLOSIONS FOR PEACEFUL PURPOSES[49]

Washington, 1 June 1990
Entered into force: 11 December 1990

Section III. Verification measures, para. 1 regarding verification.

Section IV. Notifications and information relating to explosions, paras. 1-12 regarding notifications, Nuclear Risk Reduction Centres, information procedures, declarations and on-site inspections.

Section V. Hydrodynamic yield measurement method, paras. 2 and 4-8 regarding verification.

Section VI. Local seismic network.

Section VII. On-site inspection, paras. 1-3.

Section XI. Procedures for consultation and coordination.

AGREEMENT BETWEEN THE GOVERNMENT OF THE REPUBLIC OF HUNGARY AND THE GOVERNMENT OF ROMANIA ON THE ESTABLISHMENT OF AN OPEN SKIES REGIME[50]

Bucharest, 11 May 1991
Entered into force: 27 February 1992

AGREEMENT BETWEEN THE REPUBLIC OF ARGENTINA AND THE FEDERATIVE REPUBLIC OF BRAZIL FOR THE EXCLUSIVELY PEACEFUL USE OF NUCLEAR ENERGY[51]

Guadalajara, Mexico, 18 July, 1991

TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS ON THE REDUCTION AND LIMITATION OF STRATEGIC OFFENSIVE ARMS[52]
(START I Treaty)

Moscow, 31 July 1991

Article VIII, para. 3, regarding notifications concerning data, conversion, verification, flight tests etc.

Article XI, paras. 1-14, regarding inspections.

Article XII, paras. 1, 3 regarding displays for verification

Article XV, regarding the Joint Compliance and Inspection Commission.

Eighth Agreed Statement, regarding heavy bombers.

Twentieth Agreed Statement, regarding notification of movement of launch canisters.

Protocol on Inspections and Continuous Monitoring Activities
III. Notifications Concerning Inspections and Continuous Monitoring Activities, paras. 1-25.

VII. Baseline Data Inspections, Data Update Inspections, and New Facility Inspections Conducted Pursuant to Paragraphs 2,3 and 4 of Article XI of the Treaty

Annex 5 Procedures and Continuous Monitoring.

Protocol on Notifications
Notifications Concerning Data with Respect to Items Subject to the Limitations Provided for in the Treaty, According to Categories of Data Contained in the Memorandum of Understanding and Other Agreed Categories of Data
II. Notifications Concerning Movement of Items Subject to the Limitations Provided for in the Treaty
IiI. Notifications Concerning Data on ICBM and SLBM Throw-weight in Connection with the Throw-weight Protocol
IV. Notifications Concerning Conversion or Elimination of Items Subject to the Limitations Provided for in the Treaty and Elimination of Facilities Subject to the Treaty
V. Notifications Concerning Cooperative Measures to Enhance the Effectiveness of National Technical Means of Verification
VI. Notifications Concerning Flight Tests of ICBMs or SLBMs and Notifications Concerning Telemetric Information
VII. Notifications Concerning Strategic Offensive Arms of New Types and New Kinds
VIII. Notifications Concerning Changes in the Content of Information Provided Pursuant to Article VIII of the Treaty, Including the Rescheduling of Activities
IX. Notifications Concerning Inspections and Continuous Monitoring Activities
X. Notifications Concerning Operational Dispersals

Protocol on Telemetric Information
I. Provision of Tapes that Contain a Recording of Telemetric Information
II. Provision of Data Associated with the Analysis of Telemetric Information

Protocol on the Joint Compliance and Inspection Commission

Memorandum of Understanding on the Establishment of the Data Base
Annex A. ICBMs and ICBM Launchers
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Annex C. Heavy Bombers and Former Heavy Bombers
Annex D. Space Launch Facilities
Annex E. Eliminated Facilities
Annex F. ICBM and SLBM Technical Data
Annex G. Heavy Bomber Technical Data
Annex H. Long-Range Nuclear ALCM Technical Data
Annex I. Other Data Required by the Treaty
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Agreement Between the Government of the United States of America and the Government of the Union of Soviet Socialist Republics on Early Exhibitions of Strategic Offensive Arms

Agreement Between the Government of the United States of America and the Government of the Union of Soviet Socialist Republics on Reciprocal Advance Notification of Major Strategic Exercises

AGREEMENT BETWEEN THE REPUBLIC OF ARGENTINA, THE FEDERATIVE REPUBLIC OF BRAZIL, THE BRAZILIAN-ARGENTINE AGENCY FOR ACCOUNTING AND CONTROL OF NUCLEAR MATERIALS AND THE INTERNATIONAL ATOMIC ENERGY AGENCY FOR THE APPLICATION OF SAFEGUARDS[53]

Vienna, Austria, 13 December 1991

AGREEMEMT ON RECONCILIATION, NON-AGGRESSION AND EXCHANGES AND COOPERATION BETWEEN THE SOUTH AND THE NORTH and JOINT DECLARATION OF THE DENUCLEARIZATION OF THE KOREAN PENINSULA[54]

19 February, 1992
Republic of Korea and the Democratic People's Republic of Korea.

JOINT UNDERSTANDING ON THE ELIMINATION OF MIRVed ICBMs AND FURTHER REDUCTIONS IN STRATEGIC OFFENSIVE ARMS[55]

Moscow, 17 June 1992
Russian Federation and the United States of America

JOINT UNITED STATES - RUSSIAN STATEMENT ON A GLOBAL PROTECTION SYSTEM (GPS)[56]

Washington, 17 June 1992

AGREEMENT BETWEEN THE UNITED STATES OF AMERICA AND THE RUSSIAN FEDERATION CONCERNING THE SAFE AND SECURE TRANSPORTATION, STORAGE AND DESTRUCTION OF WEAPONS AND PREVENTION OF WEAPONS PROLIFERATION[57]

Washington, 17 June 1992

AGREEMENT BETWEEN THE DEPARTMENT OF DEFENSE OF THE UNITED STATES OF AMERICA AND THE MINISTRY OF DEFENCE OF THE REPUBLIC OF BELARUS CONCERNING THE PROVISION OF ASSISTANCE RELATED TO THE ESTABLISHMENT OF EXPORT CONTROL SYSTEMS TO PREVENT THE PROLIFERATION OF WEAPONS OF MASS DESTRUCTION FROM THE REPUBLIC OF BELARUS[58]

Belarus, 22 October 1992
Entered into force: 22 October 1992

TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE RUSSIAN FEDERATION ON FURTHER REDUCTION AND LIMITATION OF STRATEGIC OFFENSIVE ARMS [59] (START II)

Moscow, 3 January 1993

Article IV, para. 5, regarding notification of intention to change the number of nuclear weapons specified in the Memorandum on Attribution, and notification of each change in data; para. 7, regarding notification of intent to reorient a heavy bomber to a conventional role; para. 9, regarding notification of intent to return a heavy bomber to a nuclear role; para. 13, regarding exhibition of heavy bombers returned to a nuclear role.

PROTOCOL ON PROCEDURES GOVERNING ELIMINATION OF HEAVY ICBMS AND ON PROCEDURES GOVERNING CONVERSION OF SILO LAUNCHERS OF HEAVY ICBMS

I. Procedures for Elimination of Heavy ICBMs and Their Launch Canisters
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II. Procedures for Conversion of Silo Launchers of Heavy ICBMs, Silo Training Launchers for Heavy ICBMs, and Silo Test Launchers for Heavy ICBMs
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PROTOCOL ON EXHIBITIONS AND INSPECTIONS OF HEAVY BOMBERS

I. Exhibitions of Heavy Bombers
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MEMORANDUM OF UNDERSTANDING ON WARHEAD ATTRIBUTION AND HEAVY BOMBER DATA
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II. Data on Heavy Bombers Reoriented to a Conventional Role and Heavy Bombers Reoriented to a Conventional Role that Have Subsequently Been Returned to a Nuclear Role

III. Data on Deployed ICBMs and Deployed SLBMs to Which a Reduced Number of Warheads is Attributed

IV. Data on Eliminated Heavy ICBMs and Converted Silo Launchers of Heavy ICBMs

V. Changes, regarding notification of changes in the attribution and data in the Memorandum

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